

# Expert Opinion

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## Nanodiamonds as vehicles for systemic and localized drug delivery

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Owing to their exceptional biocompatibility and unique surface properties, nanodiamonds (NDs) are shown to be a progressively promising nanomaterial for drug delivery. In this article, NDs as a platform for a host of biomedical applications are described, with an emphasis on cancer therapy, ranging from systemic modalities to primary constituents within polymer hybrid microfilms. Experimental results and theoretical explanations of ND–drug dynamics are compared. Water-dispersion of previously insoluble therapeutics when complexed with NDs demonstrates great promise in expanding current drug delivery options. Various forms of incorporating NDs within microfilms as a localized drug release coating and implant are also discussed.

**Keywords:** cancer, drug delivery, imaging, nanodiamond, targeting

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### 1. Introduction

The burgeoning field of nanoparticle-based drug delivery systems aims to improve on or possibly replace existing drug administration methods. Towards this end, nanoparticles are being pursued as future comprehensive treatments, proposed as being utilized anywhere from detection to diagnosis to treatment [1,2]. Several crucial factors have been historically considered when selecting a drug delivery platform. Mounting evidence has indicated that drug efficacy is determined through a combination of factors, including, among others, the timing of drug administration, dosages and drug release patterns [3,4].

Nanomaterials in particular can play a key role in addressing these issues, as their size potentially allows for unprecedented treatments (i.e., by means of signaling pathway regulation) and post-therapeutic response. In the instance of tumor penetration, the measured vascular permeability pore cutoff size is anywhere from 200 nm to 1.2  $\mu\text{m}$ , depending on the type of tumor and microenvironment [5,6]. Therefore, in order to have access to all regions within varying tumors, the drug carrier and agent should ideally be on the nanoscale. A range of reports has supported this theory, as nanoparticles have shown that existing chemotherapeutic efficacy can be improved on in addition to a decrease in side effects [7].

In order for nanomaterials to be a clinically viable option, however, several issues must first be addressed. In this review, the appeasement of these issues through a variety of studies with nanodiamonds (NDs) is discussed.

### 2. Background and properties

First, in order for any material to be considered, it must be easily mass produced. Since their discovery and inception 45 years ago, NDs have been synthesized in a variety of ways [8,9]. In this respect, NDs are favorable as they can be scalably produced via processes that are easily optimized through batch ultrasonication,

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ball milling and acid washing that yield individual impurity-free particles of 4 – 6 nm diameter [10-13]. In this work, a few properties are highlighted that make NDs promising for drug delivery applications.

NDs, typically modeled as truncated octahedrons, have an aspect ratio of near unity, which bears semblance to other well-researched drug carriers, such as micelles, liposomes, double emulsions and other spherical nanoparticles [14-19]. Other drug delivery carriers with higher aspect ratios, such as carbon nanotubes [20] and worm micelles [21], have been investigated owing to different interesting properties in cell penetration and flexibility. Still other carbon-based nanomaterials have been reported for their unique drug delivery abilities as well [22,23].

NDs show rapid cell membrane translocation for active drug delivery and biolabeling [24-27]. Very recently, the use of NDs for biomedical imaging applications has been initiated because of their unique fluorescing properties in addition to their presumed biocompatibility, a feature not easily conferred to quantum dots. In conjunction with their biocompatibility and drug delivery capabilities, the fluorescent properties of NDs have resulted in their mass appeal as a multifaceted imaging agent.

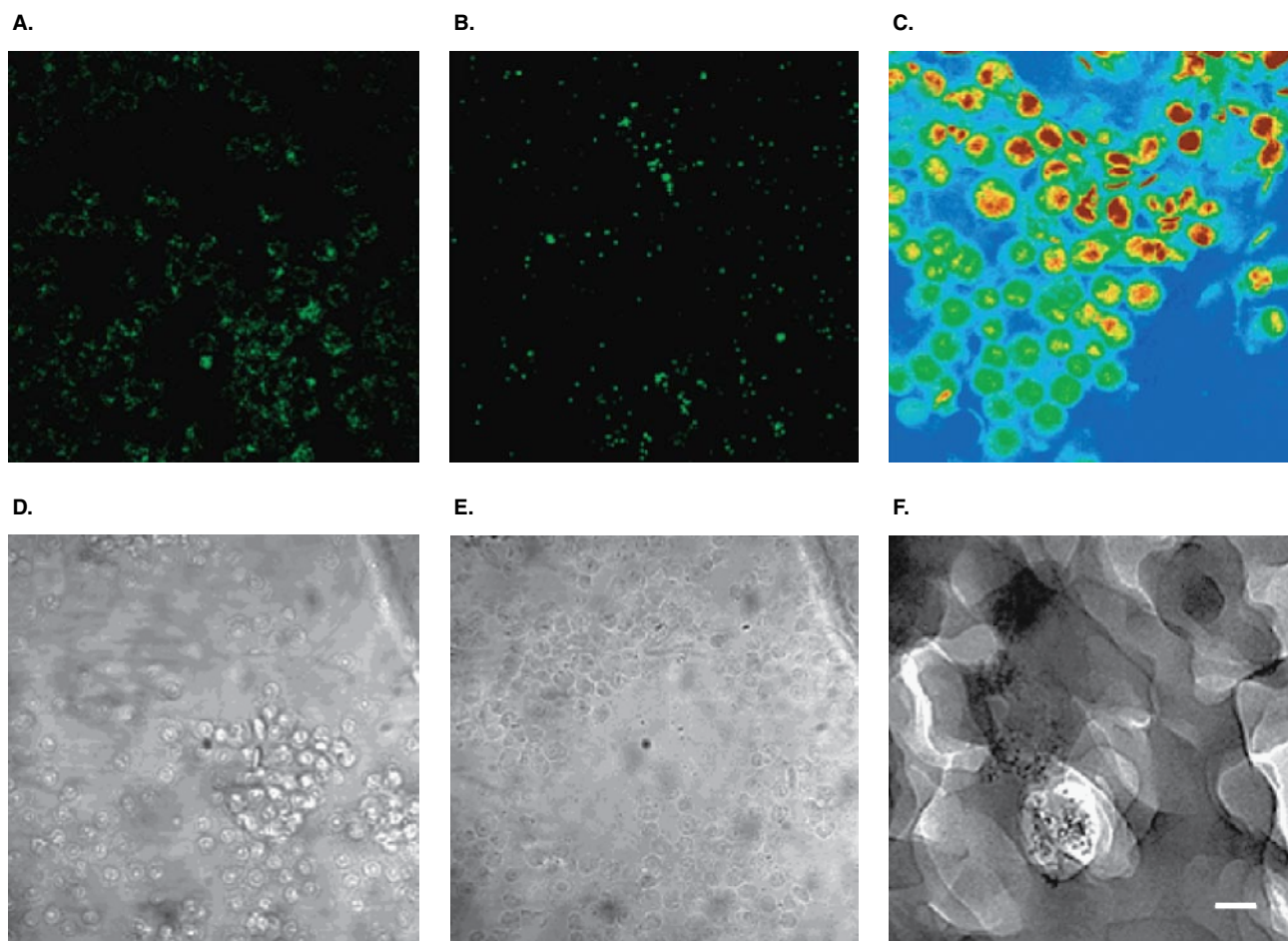
A variety of methods have used NDs as biological imaging agents. Nitrogen-vacancy center defects created through various types of irradiation on 35 and 100 nm NDs have generated photostable fluorescence excitation and emission at ~ 560 and ~ 680 – 700 nm, capable of high spatial and temporal resolution within cells [28-30]. Advances in defect generation have made mass-production of these fluorescent particles possible [29]. An added benefit in this method of production is that the fluorescence originates from point defects within the lattice, and therefore is uninhibited by surface functionalization. A preliminary study demonstrated that DNA could nonspecifically attach onto carboxylated NDs without any loss in fluorescence [30]. Mochalin and Gogotsi demonstrated an interesting alternative in creating fluorescent 5 nm NDs [31]. Instead of using irradiation, the authors covalently bound octadecylamine (ODA) to the surface, finally generating blue fluorescent NDs that were soluble in a variety of hydrophobic solvents [31].

Another contrasting method in using NDs as biolabeling agents is through Raman spectroscopy. Diamond materials have a strong Raman intensity signal at 1332  $\text{cm}^{-1}$  due to its  $\text{sp}^3$  carbon bonds, a wavelength that is typically independent of surface functionalization and biomolecules [32,33]. Furthermore, Raman spectroscopy is performed at ambient conditions and does not invasively harm the cell. One hundred-nanometer-diameter carboxylated NDs conjugated with growth hormones were used to label growth hormone receptors within epithelial cells and identified through its unique Raman signature [34]. Potential future work manipulating the NDs may demonstrate further their application as both a simultaneous imaging and drug release platform with preclinical and clinical relevance.

Proven to be a versatile platform, NDs have also been conjugated and attached to several types of biologically relevant agent, including amino acids and peptides through silane linkage [35], and cytochrome *c* and lysozymes adsorbed by means of surface-protein electrostatic interactions [32,36]. In addition, NDs have been functionalized to capture glycoproteins within protein mixtures, a promising precursor for extraction in proteomics [37]. Future conjugations are very plausible, as diamond surfaces have been conjugated with a variety of extra agents. DNA has been covalently immobilized on both diamond powders of 1 – 2  $\mu\text{m}$  diameter and ND thin films by means of covalent linkages [38,39]. Protein-antigens (mussel adhesive protein [MAP]) have been shown to have conformation stabilization on large (5 – 300 nm) ND particles [40]. Furthermore, ND films have also utilized diamond chemistry and properties for use as biosensors [39,41,42]. For more information, comprehensive reviews on ND functionalization and conjugation have been published previously elsewhere [43,44].

Most importantly, the biocompatibility of NDs has thus far proved extremely promising and must continue to be evaluated *in vivo* [45]. An interesting analogue, although acutely promising for drug delivery applications, continued widespread investigations pertaining to the toxicity of carbon nanotubes are being conducted to assess their potential for its clinical translation [46-51]. Similarly, the innate biocompatibility of particulate NDs has been tested extensively [45]. *In vitro* studies have included cell viability assays, such as mitochondrial function (MTT) and luminescent ATP production across a variety of cell lines [52]. Comparisons between the biocompatibility of carbon nanotubes and NDs have been examined directly within *in vitro* assays by means of MTT assays, mitochondrial membrane permeability and the generation of reactive oxygen species (ROS) across A459 lung epithelial cells [53] and across neuroblastoma and lung alveolar macrophage cell lines, with favorable results for the latter [54]. Diamond powders have been shown to possess great compatibility *in vitro* and *in vivo*, with the possibility of lipid peroxidation inhibition in blood plasma *in vitro* and an absence of body reaction *in vivo* [55]. Recent work has investigated the biocompatibility of NDs at the genetic level by means of real-time quantitative polymerase chain reactions (RT-PCR), evaluating a variety of inflammatory cytokine responses on ND incubation [56]. Genes associated with inflammation (interleukin-6, tumor necrosis factor- $\alpha$ , inducible nitric oxide synthase) and apoptotic behavior (Bcl-x) did not show any discernible discrepancy in expression versus normal controls. These results have suggested that the mass, purity, aspect ratio and surface functionalization all contribute highly to the biocompatibility of carbon-based nanomaterials [54].

In addition to this, it has been strongly suggested that ND colloidal stability and dispersibility within solutions significantly influences biocompatibility [57]. Steady progressions in creating stable suspensions of NDs within aqueous media have thus steadily improved and could be ready for translation to biomedical applications [11,58,59].



**Figure 1. Nanodiamonds easily internalized into cells for drug release. A – E.** Confocal images of fluorescently (FITC)-labeled nanodiamonds incubated with RAW 264.7 macrophages. **F.** Transmission electron microscope image of ND-DOX complexes within the cytoplasm of macrophage cells. Scale bars represent 20 nm. Reproduced with permission from [56].

For these aforementioned reasons, NDs are reviewed herein as a basis to form a comprehensive array of both systemic and localized treatments. Newly created ND-drug conjugates have demonstrated promise in not only improving current therapeutic efficacies, but also creating new avenues in delivering previously unrealized drugs. For localized treatments, ND-based films constructed for a variety of treatments and afflictions, including chemotherapy, cardio-thoracic medicine and wound-healing, are reviewed.

### 3. Towards systemic delivery

For systemic treatments, NDs are visualized as a nanoparticle drug carrier that addresses a multitude of diseases, in particular late stage malignant cancers. Owing to their small size and biologically amenable surface, penetration of leaky vasculature for thorough therapeutic exposure is possible. As opposed to localized implants, the nanoparticles would provide a

non-surgical method of introduction. In order for this to be realized, continued material design is being addressed. Nascent phases of administration, tissue penetration, cellular and pharmacological biodistribution would be optimized in order to control the *in vivo* fate of the particles [20]. Key issues addressing clearance times and residual accumulation would also be investigated. In pursuance of these topics, interesting recent preliminary results of the *in vivo* fate of 50-nm-diameter NDs have already been reported [60].

In the unique problem of systemic delivery to tumor cells, further challenges on the cellular and physiological levels must be addressed. These include drug resistance by cells (multi-drug resistance), physiological barriers (pressure, physiochemical etc.), and eventual biodistribution and clearance from the body [7]. In order for this platform to translate successfully to clinical practice, stringent control over basic properties (i.e., drug functionalization, preservation of drug functionality) would be necessary.

### 3.1 Nanodiamonds for chemotherapeutic delivery

An initial foray into investigating the capabilities of NDs as promising and cellularly internalized drug carriers was demonstrated with doxorubicin hydrochloride (DOX), a clinically relevant therapeutic for the treatment of a wide array of cancers [56]. DOX was either coated and/or entrapped between ND aggregates, showing a capacity for therapeutic sequestration and reduction of systemic overexposure. As such, because several chemotherapeutics provide biological activity against both healthy and cancerous cells, this ND-mediated 'shielding' effect provides innate protection against nonspecific and unwanted processes. Current clinically offered alternatives, such as liposome packaged doxorubicin (Caelyx®; Schering-Plough, Kenilworth, NJ, Myocet®; Sopherion Therapeutics Princeton, NJ) demonstrate this effect by successfully reducing side effects, such as alopecia and nausea [61,62].

The reversible adsorption and desorption of DOX to and from the ND surface was also shown to be dependent on surface charge or, more generally, pH. Similar effects were demonstrated with water-solubilized carbon nanotubes [23] and amphiphilic copolymer membrane nanocontainers [63]. DOX-ND interactions generated attenuated cell death, with longer incubations resulting in the advancement of apoptotic behavior, further attesting to the ability of NDs as a platform for long-term drug storage and slow release. These results implied ND-mediated preservation of drug functionality, a promising precursor for advanced clinical translation of intelligent drug carriers.

ND-conjugated cell internalization was visualized by means of fluorescence microscopy and transmission electron microscopy (TEM), showing that ND-drug complexes easily traverse the cell membrane for efficient release (Figure 1). Both the efficient and the smart delivery capabilities of NDs should be explored further using NDs in a variety of biomedical applications. Owing to recent advances in deaggregation and long-term ND colloidal solution suspension within aqueous media [64-66], these possibilities are now conceivable in a clinical setting.

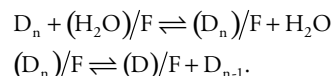
### 3.2 Nanodiamond-bioagent dynamics

Owing to recent insights by Barnard and Sternberg [67,68] and further elaboration by Osawa *et al.* [69], a model for electrostatic interactions between the surface of NDs and DOX has been proposed. Through density functional tight binding-based simulations, ND particle-particle interactions demonstrated dependency on the type of surface facet, possibly leading to preferentially ordered self-assembled ND agglomerates (Figure 2) [67,68]. For example, (100) surface and (100)/(111) edges featured positive potentials, whereas (111) surfaces contained either extremely negative or varying potentials owing to asymmetry [67]. These effects become important during the process of ND-drug conjugation because the availability of functional groups can potentially be correlated to the reactivity of the various nanodiamond surface planes. The accessibility of functional groups (e.g., -COOH), as well as the absence of functional groups in certain cases, can mediate

the opportunities to link various types of therapeutics to the ND surface via several scenarios, which include physisorption and covalent conjugation, for example. Furthermore, the surface reactivity is expected to be impacted further by the composition of the surrounding environments, which can include saline, nanopure water, blood (*in vitro*/*in vivo*), and so on.

This theoretical model provided a basis for describing the interplay between ions, small and water molecules with ND surface facets [69]. Owing to stronger interactions, charged molecules such as salts or certain drugs will competitively adhere to the ND surface, displacing previously adhered water molecules. Depending on the charge, these charged entities will preferentially attach to certain facets or edges. As was demonstrated experimentally and independently previously in [56], this equilibrium between charge-charge and charge-dipole interactions can be shifted according to the number of ions present [69].

In other words, as ever-increasing amounts of drug are attached to the ND surface, the surrounding water molecules are displaced further and the ND-drug complex's solubility decreased [69]. This model is summarized in general as:



where  $D_n$  is the drug agglomerate,  $D$  is the dispersed polar drug molecule,  $F$  is the facet and  $'/'$  symbolizes the interaction [69].

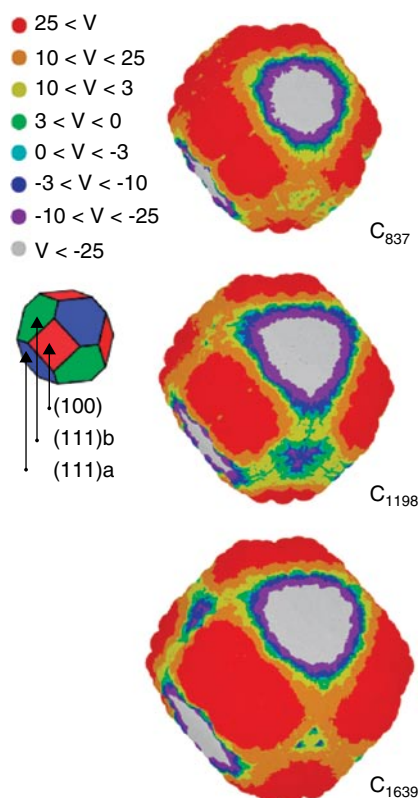
### 3.3 ND dispersal of water-insoluble therapeutics

The aforementioned dynamic between therapeutics and NDs led to surprising results and exciting behaviors. Traditionally, systemically administered drugs have been limited by aqueous solubility [70]. This can be especially challenging for small molecules of poor water dispersion. To address this challenge successfully, a new report has demonstrated the water solubilization of previously water-insoluble therapeutic compounds, through ND cluster-drug interactions [71].

Specifically, Purvalanol A and 4-Hydroxytamoxifen (4-OHT), promising drugs for liver and breast cancer, respectively, and the anti-inflammatory Dexamethasone, were complexed with ND clusters, greatly improving water dispersion. The ability to deliver water-insoluble therapeutics has also been addressed using other carbon-based nanomaterials where functionalized graphene oxide sheets were shown to attach to insoluble drugs and render them stable within aqueous and biological solutions [22]. A similar effect can be shown by entrapping hydrophobic molecules within triblock copolymer-based nanospheres or worm micelles [21,72].

Purvalanol A and 4-OHT are typically solubilized in DMSO or ethanol, respectively, solvents normally unsuitable or unfavorable for injection. Systemic administration of 4-OHT thus far has been shown to reduce estrogen receptor-positive breast cancer, but unfortunately increased the risk of thromboembolism and endometrial cancer [73,74].





**Figure 2. Different facets on nanodiamond surfaces have varying electrostatic potentials.** The models depict distinct potentials dependent on the type of surface. Note that facet edges have dramatically different potentials from facet faces. Reproduced with permission from [68].

Before ND-complexing, Purvalanol A and 4-OHT aggregated into particles on the order of  $\sim 100 \mu\text{m}$ . Subsequent binding with NDs resulted in a 3 orders of magnitude decrease in particle size on the order of  $\sim 100 \text{ nm}$ . In addition, the zeta-potential of the resulting drug–ND complexes emerged more positive, augmenting the surrounding of hydration shells, ultimately increasing water dispersibility [71]. These findings are of particular promise, as it has been shown that decreased particle size along with a positively charged surface allow for enhanced cellular internalization [75,76]. Accordingly, NDs have been proposed as a probable candidate for breast cancer pharmacotherapy owing to ND retention in cytoplasmic vesicles within estrogen receptor-positive breast cancer cells (MCF-7) [24]. These effects, in addition to the biocompatibility, scalability and versatility of NDs, lend themselves well as an important precursor for emerging water-insoluble therapeutic delivery.

### 3.4 A new method for nanodiamond and cell response characterization

To realize the potential in using NDs for systemic delivery, the mechanisms of cellular uptake and intracellular trafficking

must be investigated. So far, it is known that pH and salts within buffers have a considerable effect on ND aggregation and stability, which ultimately affects cell internalization behavior [27]. As an alternative means to study this at the single cell level, nanofountain probes (NFPs), normally used in ultra-high-resolution pattern studies, were instead leveraged for cellular injection [77–79]. Fluorescently tagged NDs were injected into a variety of cell lines by means of capillary forces (Figure 3). As physical mechanisms were used to introduce the nanoparticles into the cell, no chemical modifications on the probe or ND surface were required during the introduction process.

Experiments regarding cell response and dosage can be explored for further optimization of properties beneficial to systemic delivery. More information is needed for intracellular dynamics of NDs within cells in order to optimize properly the particles as drug carriers. As an inductive study, from injection, it was measured that the diffusion coefficient of  $4 - 6 \text{ nm}$  NDs was  $(11.8 \pm 0.2) \times 10^{-3} \mu\text{m}^2/\text{s}$  for the first  $\sim 5 \text{ min}$ , in comparison with a previously reported value of  $3.1 \times 10^{-3} \mu\text{m}^2/\text{s}$  for  $35 \text{ nm}$  NDs [29,78].

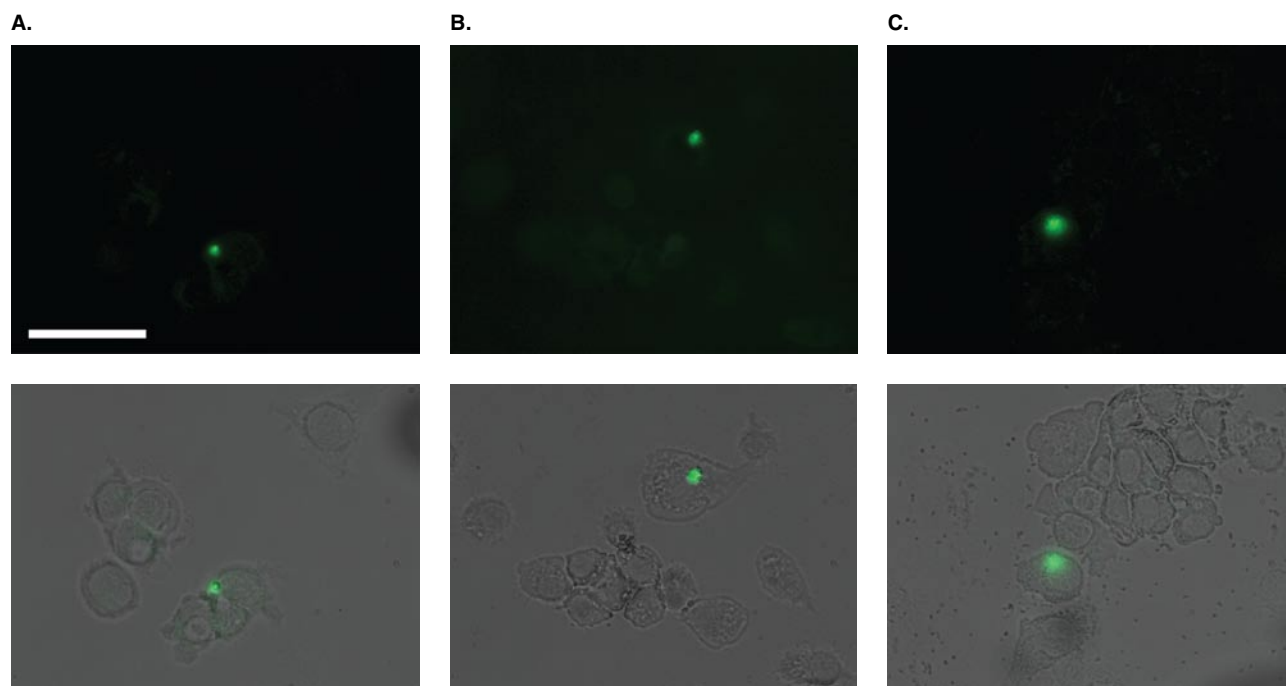
The interplay between cellular response and size has shown that particle properties have a crucial role in mediating cellular response [80]. As the NFPs are operated with an atomic force microscope (AFM), real-time control over spatial fidelity and measurement of forces can be made on the order of nanometers and nanonewtons, respectively. Investigations comparing the kinetics of transmembrane transported and intracellular injected NDs within cells are underway at present. The resulting findings could provide a basis in determining optimal dosages and timing sequences in more complex situations.

NFPs were also used simultaneously for high-resolution nanomanufacturing, with nanoparticle placement on the order of  $100 \text{ nm}$ . Previous ND patterning techniques have demonstrated increased spatial fidelity of ND positioning on larger scales. For example, very recently, inkjet printing techniques with patterned ND solution features on the order of  $10 - 100 \mu\text{m}$  were achieved [81,82]. Intended for CVD templating purposes, the parallel and ambient processing technique would feasibly be well suited for biomedical applications as well.

The current NFP capability reveals the potential for future studies involving single-cell dosage assays and the manufacturing of intelligent devices that require fine consistent dosage control. Therefore, the authors believe this work provides a simple system in which to test for drug delivery efficacy, timescales and dosages for new nanoparticle delivery mechanisms.

## 4. Towards localized delivery

Numerous challenges are presented when designing biomaterials for drug delivery. Among the most important for implantable drug delivery systems are the need for improved biocompatibility, a reduction in dimensional penalties,



**Figure 3.** Nanofountain probe injection of fluorescently labeled nanodiamonds in (A) MCF-7 human breast adenocarcinomas, (B) RAW 264.7 murine macrophages and (C) RKO colorectal carcinomas verified by means of epifluorescence (top row) and fluorescence-bright field overlay (bottom row) images. Scale bar is 50  $\mu$ m.

Reproduced with permission from [78].

tunability of release rates, reduction in nonspecific elution, inhibition of over-elution or 'burst' release, scalability of material production, and evaluation of post drug release effects [83,84]. Focusing the delivery of drugs to one area will cause a reduction of overall systemic drug concentrations. By combining this with preservation of drug activity during sequestration, multiple follow-up therapies could be alleviated or even eliminated [4]. For example, localized delivery of chemotherapeutic has also been shown to reduce systemic side effects, while simultaneously improving drug efficacy [85]. Examples of previously demonstrated long-term delivery methods include modifications of diffusion-based systems and microchip deliveries [86,87]. Herein, facile ND-based strategies capable of controllable long-term drug release, without the need for external energy sources or complex equipment, are discussed.

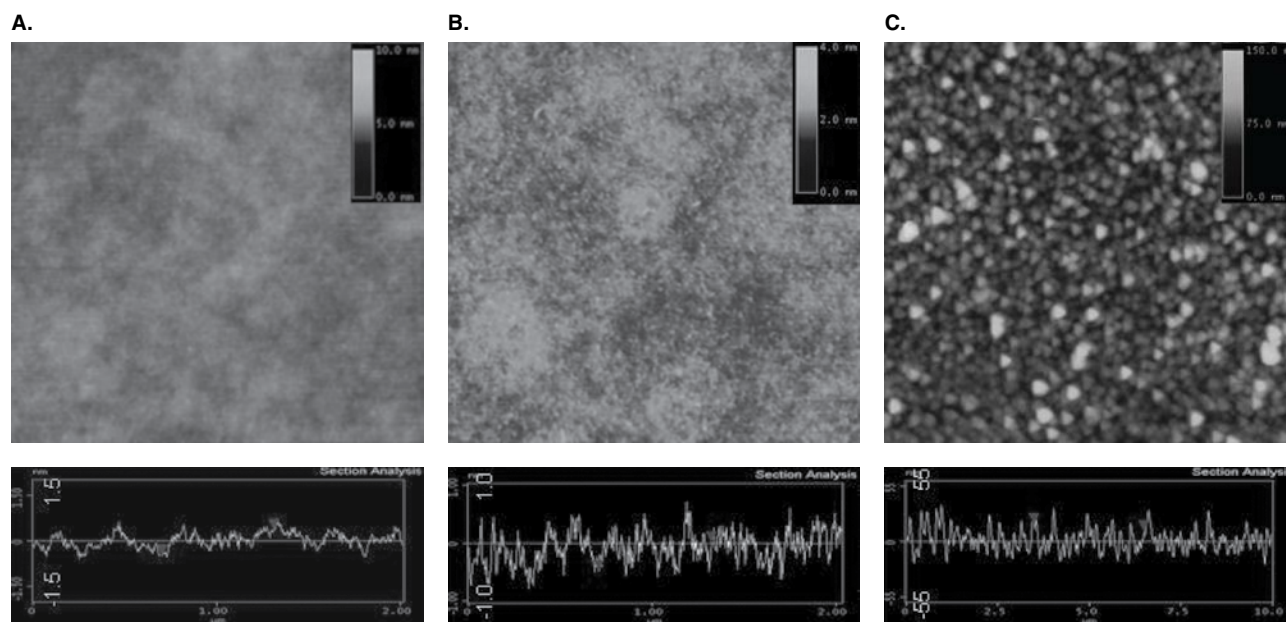
#### 4.1 Current challenges in sustained and localized chemotherapeutic delivery

Typically, the duration and scope of chemotherapy are restricted to the inherent toxicity effects of the drug within other organs. *In vivo* studies comprised of normal DOX administration implicated several problems associated with drug resistance. Owing to low diffusion rates and strong intracellular binding, large therapeutic gradients were identified immediately after injection that eventually

lingered near blood vessels, leaving cancerous cells further removed from vessels unharmed [88]. The same study showed that continuous infusion administration led to more graduate gradients [88]. Multicellular layer models have shown that for several drugs, slowed penetration effectively combats chemotherapy efficacy, especially for cells distant from blood vessels [89]. Sequential treatments are highly considered to remove successive layers of cells on treatment, both on the periphery and near blood vessels [89].

Therefore, by having perpetual and localized release, problems normally associated with drug penetration can be bypassed [90,91]. Within spheroid studies, DOX concentrations have been increased within cells situated away from the periphery through continuous infusion, strongly implying improved retention and therapeutic equilibration across the entire tumor [92]. In other words, in order to access cancerous cells that are receiving diminished nutrients, energy and therapeutic, long-term strategies that remove subsequent layers of cells will finally allow access to previously elusive cells. Advanced *in vitro* and *in vivo* models for studying drug penetration would generate helpful supporting insights [93].

In lieu of these effects, the design of a localized drug delivery system should provide a basis for controlling dosages over a long period of time, as well as maintaining a platform for improved biocompatibility and versatility.



**Figure 4. Layer-by-layer deposition process: sequential  $2 \times 2 \mu\text{m}$  AFM scans of (A) glass, (B) poly-L-lysine and (C) ND thin film layer.**  
Reproduced with permission from [94].

#### 4.2 Biocompatible and biofunctional multilayer nanodiamond films

An interesting application transferring the nanoscale properties of NDs to the macroscale was demonstrated by forming template ND nanofilms through layer-by-layer (LBL) deposition [94]. Routes towards future biosensor applications have also been demonstrated where NDs were coated onto a glass surface through a silane coupling agent [95].

The concept of ND-based localized therapy bioimplantation was developed further through LBL processes with proteins. Several aspects of this mode of fabrication, utilizing the sequential adsorption of polyanions and polycations, lend themselves as a prevalent utility for biomedical applications. These include the ease of use under the allowance of a variety of substrates and respective surface topographies, integrated control over composition and thickness, and fabrication under ambient conditions, among others [96,97]. Of particular interest are the removed need for expensive and extreme chemical vapor deposition equipment and conditions, the ease in which nanofilm thickness can be controlled, and the allowance and control over an amenable material–tissue interface forges a favorable method for coating implants of assorted shapes and sizes [97].

Owing to the abundance of -OH and -COOH functional groups on the ND surface, simultaneously allowing for water dispersion and providing a negative surface charge, layers of interspersed ND clusters could be deposited onto positively charged poly-L-lysine (PLL)-coated surfaces (Figure 4). The resulting film created interparticle cavities, potentially allowing for drug storage and future release. The resulting LBL-ND films were found to be stable, even when

submerged within salt solutions, a testament to the strong electrostatic and van der Waals interactions between the PLL substrate and ND layer [94].

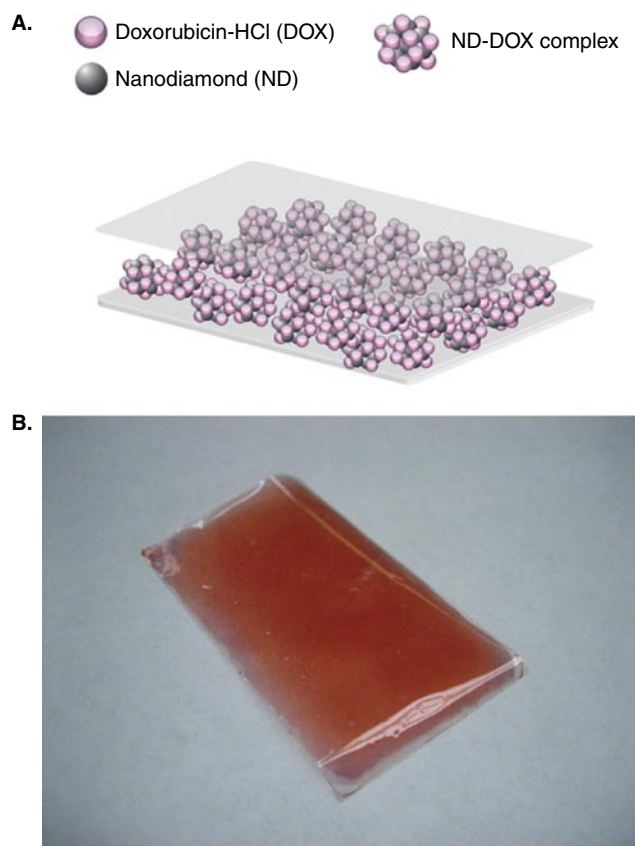
Several biocompatibility assays authenticated the retained biocompatibility of the ND films, showing similar profiles down to the genetic level as their dispersed brethren along with standard negative controls. Preliminary studies integrating the films with anti-inflammatory glucocorticoid steroid hormones (Dexamethasone) by means of physical interactions showed suppressed expression of inflammatory cytokines as a result of sustained therapeutic release [94].

As the amount of ND layers can be controlled and measured easily, both drug adsorption amounts and desorption rates can potentially be controlled, allowing for future applications in a variety of implantation coatings, or if made more robustly, used as a standalone drug release strategy. This finding makes it favorable for other fields of interest, such as anticancer and gene delivery applications by means of controlled delivery of cytotoxic chemicals or DNA, respectively.

An assortment of biologically relevant agents could be assimilated into LBL films by changing the ND surface chemistry, such as reacting amino-reactive sites on a molecule to an amine functionalized ND surface. Finally, past works using various stimuli (pH-, thermo- etc.) to trigger release within LBL films also provide further avenues of inquiry [97].

#### 4.3 Hybrid nanodiamond-polymer microfilms for localized chemotherapeutic delivery

Another method for translating the aforementioned ND-mediated slow-release capabilities to the macroscale is through the



**Figure 5.** **A.** Schematic depiction of the construction of nanodiamond-parylene microfilms. Nanodiamonds are sandwiched between a thick base layer and thin variable non-conformal layer of parylene, which allows for controllable release. **B.** Resultant microfilms can be of varied size and are flexible.

embedding of NDs within polymer matrices [98]. Interesting studies using NDs as a constituent within other hybrid materials have demonstrated the versatility in improving various physical properties. For example, the dispersion of NDs within polyacrylonitrile and polyamide by means of electrospinning into microfibers and nanofibers has exciting applications in transparent, scratch-resistant UV protection coatings [99], whereas adding NDs to copper-based nanocomposites has been shown to improve mechanical properties [100].

Parylene C was opted as the structural framework for ND encapsulation. Several properties of this polymer led to its previous use as a coating in FDA-approved devices [101-104]. These include extreme biostability and inertness, standardized conformal coatings even over complex substrates, and tunable thicknesses [102-106]. Traditionally used as a coating, the deposition process of parylene C is also proficient in creating flexible standalone devices of tunable dimensions and shapes.

In constructing this device, ND-DOX complexes were lodged between a thick impermeable base and thin pervious

layer of parylene C (Figure 5). This design scheme allows for unidirectional drug release originating from ND-drug complexes. Before this, this architectural motif had been explicated without NDs [107-109]. In these studies, the release of Dox was extended from minutes and hours to on the order of days [107,108], implying, through careful determination of the top layer thickness and permeability, drug release can be adjusted accordingly.

The addition of NDs as a constituent not only extended release in a continuous and consistent manner for nearly a month, but also suppressed burst release [98]. There are several advantages to this simple design: the elimination of a need for an energy source or pump to control release while the NDs provide a stable reservoir of drug. Accordingly, the addition to NDs caused lower therapeutic release in spite of having a large amount of drug situated within the microfilm, extending the active lifetime of the film [108].

Designed primarily as an adjuvant therapy for early-stage post-tumor removal implantation, the device is envisioned to minimize dramatically or even eliminate follow-up radiation therapy via potent sustained release and localized chemotherapeutic activity. If successful, significantly less drug could be used, simultaneously improving patient treatment with potentially fewer side effects and abolishing the need for further surgery.

By altering ND conjugation with various disorder-specific agents, the broad applicability of this device is extended, with connotations not only within oncological treatment, but also within the confines of anti-inflammatory remedies, or any purpose that stresses direct localized placement over an afflicted area. As examples, separate constructed microfilms consisting of ND-DOX [107] and 4OHT conjugates (Robert Lam, Dean Ho, unpublished results, 2009) corroborated the inclusive nature of this design. Future iterations expounding on the potential of this device include using microfabrication techniques for precise drug placement [87,110] and delivery, the addition of combinatorial therapeutics [111] and imaging agents to create an all-encompassing device.

## 5. Conclusion

The role of utilizing NDs within drug delivery applications is still in its infancy. As a result, several tasks are needed to characterize fully the nature of NDs both physically and within a biological setting. A clinically applicable platform strategy will require further biocompatibility tests, optimization of ND-drug conjugation and strict control over key physical parameters. Within these aspects, initial results in biocompatibility and efficacy have been promising thus far. New methods of cell reaction interrogation could provide a quick methodology in identifying intracellular responses and mechanisms. For localized delivery systems, NDs could become key contributors in providing sustained release and improving biocompatibility as a coating or standalone drug release device owing to their flexibility and ease of manufacture.



## 6. Expert opinion

The continued search for the optimized design of a versatile and efficient drug carrier is catalyzing multidisciplinary efforts that integrate the fields of materials science, bio/medical engineering, chemistry, biology, toxicology, and beyond. With respect to ND-based carriers, *in vivo* testing of both safety and efficacy of therapeutic delivery or imaging will be examined towards the realization of their translational relevance. As a means to bridge the gap from *in vitro* to *in vivo* biocompatibility studies, three-dimensional cell-cultured-based toxicity studies could be performed [112]. Drastic differences in toxicity when comparing nanoparticles within standard two-dimensional cultures and three-dimensional spheroid cultures, possibly because of the more accurate tissue simulation, could help mediate long-term toxicity studies [112]. For example, *in vitro* multicell-spheroid studies relating DOX gradients with tumor heterogeneity were later verified *in vivo* [88,113]. Using more tumor models both *in vitro* and *in vivo*, multi-therapeutic release, or combinatorial therapy, as well as sequential therapy and the addressing of chemoresistance should also be performed given the well-established findings that multi-agent treatment of diseases can have a profound impact on the improved efficacy of therapeutic activity.

At the foundation of the application-specific development of NDs for therapeutic delivery, further elucidation of the versatility and subsequent tenability of the ND surface will serve as a gateway to pairing modeling and simulation with experimental validation towards intelligent ND platform design. This collaborative effort combining theoretical modeling and simulation-based optimization would help identify key parameters such as the density spatial distribution of chemical group functionalization on the ND surface (e.g., amine, carboxylic acid, hydroxyl group presence). These factors can also influence significantly the extent of drug or imaging/targeting agent loading, and govern delivery rates. Furthermore, the elucidation of the surface composition of NDs can also inspire new conjugation routes, boosting the applicability of the ND platforms towards new therapeutic-diagnostic, or 'theranostic' scenarios. To these ends, one can also gain insight from previous *in vivo* nanoparticle studies. Several examples, including recent insights on systematically PEGylated-near-infrared emitting quantum dots, show that surface charge and size play a major role in *in vivo* biodistribution and clearance [114]. In addition, quantum dots functionalized with ultrashort PEG chains were rapidly absorbed by the liver; longer PEG chains increased retention times and intermediary hydrodynamic diameters displayed various surprisingly specific

uptake, targeting and clearance [114]. The large surface area and surface functionalization are key determinants in *in vivo* toxicity [20,115]. Previous work with C<sub>60</sub> fullerenes has demonstrated drastically different reactions based on surface modifications [116-118].

Further milestones of importance would also include the pursuit of combining targeting, imaging and drug release capabilities of NDs into a single platform with the preservation of the aforementioned biocompatibility (e.g., non-cytotoxic, ability for clearance etc.) attributes in an *in vivo* setting. Although nearly a reality *in vitro*, applicability *in vivo* would prove more complex as NDs would need to be capable of fluorescing in a medically relevant range of wavelengths (i.e., infrared, PET/CT/MRI) in addition to coupling with therapeutics. Coincidentally, Miyawaki *et al.* recently developed a way to measure quantitatively the biodistribution of Gd<sub>2</sub>O<sub>3</sub>-labeled carbon nanohorns within mice by means of inductively coupled plasma atomic emission spectroscopy (ICP-AES) [119]. Continued work in this area will draw on emerging findings from the aforementioned investigations into ND surface properties to allow for the co-loading of imaging agents (e.g., PET/MRI probes) and therapeutic compounds.

It has been hypothesized that the ND surface can temporarily inactivate bound drug molecules, providing a means for storage of drugs while suppressing side effects [69]. Future studies on the possibility of combining targeting and preservation of therapeutics could signal a transformative effect on systemic timed release. To translate these attributes to localized delivery methods, immobilization of NDs within photopolymerizable and photodegradable gels, for example, could allow for future unrealized avenues of research, impacting and meeting diverse medical needs that range from neural implantation (e.g., controlled release of neural growth factors for spinal cord repair applications) to implant coatings and the suppression of fouling/immunological rejection. Furthermore, enhancements to localized drug delivery can be realized further in the form of new capabilities in temporal and spatial drug release. At the heart of ND design and fundamental evaluation resides the importance of uniting several disciplines (e.g., nanomanufacturing, drug discovery, molecular biology/medicine) that will each play unique as well as collaborative rolls in the downstream realization of clinical ND therapy.

## Declaration of interest

The authors state no conflict of interest and have received no payment in the preparation of this manuscript.

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